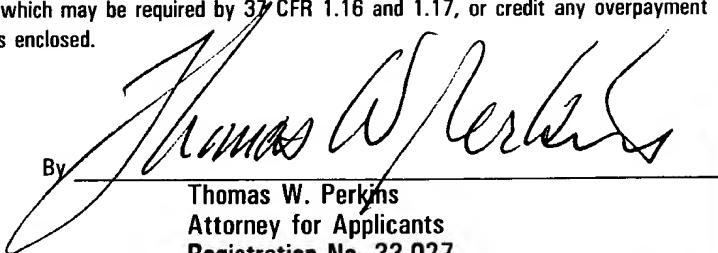


FORM PTO-1380	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371	ATTORNEY'S DOCKET NUMBER: 99 P 5536
INTERNATIONAL APPLICATION NO.: PCT/DE00/01935	INTERNATIONAL FILING DATE: 14 June 2000 (14.06.00)	U.S. APPLN. NO. (If known, see 37 CFR 1.5) 09/744947 PRIORITY DATE CLAIMED: 23 June 1999 (23.06.99)
TITLE OF INVENTION: METHOD FOR CAPPING AN ELECTRIC LAMP		
APPLICANT(S) FOR DO/EO/US: Bernd PILHOFFER, et al.		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/>	This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.	
2. <input type="checkbox"/>	This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.	
3. <input checked="" type="checkbox"/>	This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).	
4. <input type="checkbox"/>	A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.	
5. <input checked="" type="checkbox"/>	A copy of the international Application as filed (35 U.S.C. 371(c)(2))	
a. <input type="checkbox"/>	is transmitted herewith (required only if not transmitted by the International Bureau).	
b. <input checked="" type="checkbox"/>	has been transmitted by the International Bureau. (see attached copy of PCT/IIB/308)	
c. <input type="checkbox"/>	is not required, as the application was filed in the United States Receiving Office (RO/US).	
6. <input checked="" type="checkbox"/>	A translation of the International Application into English (35 U.S.C. 371(c)(2)).	
7. <input checked="" type="checkbox"/>	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).	
a. <input type="checkbox"/>	are transmitted herewith (required only if not transmitted by the International Bureau).	
b. <input type="checkbox"/>	have been transmitted by the International Bureau.	
c. <input type="checkbox"/>	have not been made; however, the time limit for making such amendments has NOT expired.	
d. <input checked="" type="checkbox"/>	have not been made and will not be made.	
8. <input type="checkbox"/>	A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).	
9. <input checked="" type="checkbox"/>	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).	
10. <input type="checkbox"/>	A translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).	
Item 11. to 16. below concern document(s) or information included:		
11. <input checked="" type="checkbox"/>	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.	
12. <input checked="" type="checkbox"/>	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.	
13. <input checked="" type="checkbox"/>	A FIRST preliminary amendment.	
	A SECOND or SUBSEQUENT preliminary amendment.	
14. <input type="checkbox"/>	A substitute specification.	
15. <input type="checkbox"/>	A change of power of attorney and/or address letter.	
16. <input checked="" type="checkbox"/>	Other items or information:	

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 09/744947		INTERNATIONAL APPLICATION NO. PCT/DE00/01935	ATTORNEY'S DOCKET NO. 99 P 5536																				
		CALCULATIONS PTO USE ONLY																					
<p>17. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):</p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$ 1,000.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$ 860.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$ 710.00</p> <p>International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$ 690.00</p> <p>International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$ 100.00</p>																							
ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 860																							
<p>Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).</p>																							
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>CLAIMS</th> <th>NUMBER FILED</th> <th>NUMBER EXTRA</th> <th>RATE</th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>11 - 20 =</td> <td>0</td> <td>X \$18.00</td> </tr> <tr> <td>Independent claims</td> <td>2 - 3 =</td> <td>0</td> <td>X \$80.00</td> </tr> <tr> <td colspan="3">MULTIPLE DEPENDENT CLAIMS(S) (if applicable)</td> <td>+ \$270.00</td> </tr> <tr> <td colspan="4" style="text-align: center;">TOTAL OF ABOVE CALCULATIONS = \$ 860</td> </tr> </tbody> </table>				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	11 - 20 =	0	X \$18.00	Independent claims	2 - 3 =	0	X \$80.00	MULTIPLE DEPENDENT CLAIMS(S) (if applicable)			+ \$270.00	TOTAL OF ABOVE CALCULATIONS = \$ 860			
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TOTAL OF ABOVE CALCULATIONS = \$ 860																							
<p>Reduction of $\frac{1}{2}$ for filing by small entity, if applicable. Applicant claims Small Entity Status under 37 CFR 1.27.</p>																							
SUBTOTAL = \$ 860																							
<p>Processing fee of \$130 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.49(f)).</p>																							
TOTAL NATIONAL FEE = \$ 860																							
<p>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property</p>																							
TOTAL FEES ENCLOSED = \$ 900																							
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td colspan="2"></td> <td>Amount to be refunded:</td> </tr> <tr> <td colspan="2"></td> <td>charged:</td> </tr> </table>						Amount to be refunded:			charged:														
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a. <input checked="" type="checkbox"/>	A check in the amount of \$ 900 to cover the above fees is enclosed.																						
b. <input type="checkbox"/>	Please charge my Deposit Account No. 25-0120 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.																						
c. <input checked="" type="checkbox"/>	The Commissioner is hereby authorized to charge any additional fees which may be required by 37 CFR 1.16 and 1.17, or credit any overpayment to Deposit Account No. 25-0120 . A duplicate copy of this sheet is enclosed.																						
<p>SEND ALL CORRESPONDENCE TO:</p> <p>Customer No. Osram Sylvania Inc. Att: Carlo S. Bessone 100 Endicott Street Danver, MA. 01923 (978)750-2076 facsimile (978) 750-2045</p> <p>January 31, 2001</p> <p>By  Thomas W. Perkins Attorney for Applicants Registration No. 33,027</p>																							